
Audit and Procurement Committee

19 December 2016

Name of Cabinet Member:

Cabinet Member for Strategic Finance and Resources – Councillor J Mutton

Director Approving Submission of the report:

Executive Director of Resources

Ward(s) affected:

City Wide

Title:

Corporate Risk Register

Is this a key decision?

No – Although the Corporate Risk Register covers the whole of the City

Executive Summary:

In accordance with the Council's Risk Management Strategy this report sets out the current Corporate Risk Register to provide the Audit and Procurement Committee with an overview of the Council's corporate risk profile and the controls in place to address these risks.

Recommendations:

The Audit and Procurement Committee are requested to:-

1. Note the current Corporate Risk Register, indicating that they have satisfied themselves that Corporate Risks are being identified and managed.
2. Identify any areas where they require additional information (if any).

List of Appendices included:

Appendix One – Corporate Risk Register

Other useful background papers:

None

Has it been or will it be considered by Scrutiny?

No

Has it been or will it be considered by any other Council Committee, Advisory Panel or other body?

No

Will this report go to Council?

No

Report title:

Corporate Risk Register

1. Context (or background)

- 1.1 Local Government is currently operating within an environment of substantial budget cuts and major policy changes with significant impact on service delivery and organisational structures. The pace and scale of change requires the Council to constantly assess its risk profile and implement suitable controls to manage those risks.
- 1.2 There is a requirement within the Council's Risk Management Strategy that the Audit and Procurement Committee receive and consider reports on the Corporate Risk Register every six months in order to discharge their responsibilities in respect of risk management:

Audit and Procurement Committee – 'to monitor the effective development and operation of risk management within the Council'.

- 1.3 This report provides an update on the Corporate Risk Register in compliance with the Risk Management Strategy.

2. Options considered and recommended proposal

- 2.1 It should be noted that this report covers only those risks that are viewed as the most critical for the Council and are considered at the corporate level. Risk management activity continues at other levels throughout the Council dealing with risks of a lower rating.
- 2.2 The Corporate Risks listed in Appendix 1 can be considered as falling into two separate categories:

Operational/ Business as Usual – those risks that could affect the underlying and fundamental operations and structure of the Council

- CR 001 – Finance:
- CR 006 – Adult Social Care
- CR 007 – Safeguarding/Protecting Vulnerable Adults, Children and Families
- CR 009 – Coventry fails to provide a high quality education for its children
- CR 012 – Provision of SEN Transport
- CR 013 – Creation of a Combined Authority for the West Midlands and agreement (or not) of a Devolution Deal
- CR 014 – Information Governance
- CR 015 – Historic Abuse

Specific/Project – those risks that could affect specific projects or the major change initiatives to how we operate

- CR 002 – Arena Coventry Ltd/Coventry City Football Club
- CR 003 – ICT Infrastructure and Change
- CR 005 – Workforce Strategy
- CR 008 – Ofsted Improvement Notice
- CR 010 – Kickstart – Move to Friargate
- CR 011 – Friargate Business District

- 2.3 The Corporate Risks and the control measures in place to address them are more fully described in Appendix 1. Audit and Procurement Committee are asked to review the content of the register and satisfy themselves that the process is operating effectively within the Council as required under the Risk Management Strategy.
- 2.4 There are some changes to the Risk Register from the previous report to Members.
Risks removed:
CR 004 – Customer Journey: the operational and infrastructure changes are now well advanced and the risk is now at a level to be monitored at Directorate level.
Risks added:
CR 014 – Information Governance
CR 015 = Historic Abuse
- 2.5 The Risk Management Strategy in its current form has been in place since 2012. The Council’s practice is currently the subject of a full review in respect of Policy, Strategy and Operational Framework. The outcome of this review will be reported back to the Audit and Procurement Committee.

3. Results of consultation undertaken

None

4. Timetable for implementing this decision

- 4.1 There is no implementation timetable, this is a monitoring report.

5. Comments from Executive Director, Resources

- 5.1 Financial implications

There are no specific financial implications associated with this report although management of the risks included is essential from a financial and operational perspective. This will enable the Council to minimise any detrimental financial outcomes arising from the risk areas and help to ensure that resources are directed towards the Council’s key priorities.

- 5.2 Legal implications

The maintenance and review of the Corporate Risk Register ensures that the Council meets its statutory obligation under the Accounts and Audit Regulations 2015 to have appropriate measures in place to ensure that risk is appropriately managed

6. Other implications

- 6.1 How will this contribute to the Council Plan (www.coventry.gov.uk/councilplan/)**

Effective risk management arrangements are an integral component of strategic decision making, service planning and delivery, increasing the likelihood of achieving Corporate aims and objectives.

6.2 How is risk being managed?

The Council has a policy and framework to support risk management arrangements across the organisation as part of its overarching Governance processes. This report forms part of that practice.

6.3 What is the impact on the organisation?

Effective Risk Management arrangements lead to improved decision making and operational practices across all areas of the organisation.

6.4 Equalities / EIA

None

6.5 Implications for (or impact on) the environment

No impact

6.6 Implications for partner organisations?

None

Report author(s):

Name and job title:

David Johnston - Insurance Manager

Directorate:

Resources

Tel and email contact:

02476 833867 – david.johnston@coventry.gov.uk

Enquiries should be directed to the above person.

Contributor/approver name	Title	Directorate or organisation	Date doc sent out	Date response received or approved
Contributors:				
Lara Knight	Governance Services Co-ordinator	Resources	30/11/16	30/11/16
Names of approvers for submission: (officers and members)				
Finance: Paul Jennings	Finance Manager	Resources	30/11/16	01/12/16
Legal: Helen Lynch	Legal Services Manager (Place and Regulatory)	Resources	30/11/16	01/12/16
Director: Chris West	Executive Director of Resources	Resources	06/12/16	06/12/16

Members: Cllr J Mutton	Cabinet Member for Strategic Finance and Resources		07/12/16	07/12/16

This report is published on the council's website:
www.coventry.gov.uk/councilmeetings